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I have by certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

PATENT Attorney Docket No.: CB-11-1

Myst 6,2004.

Commissioner for Patents,

Alexandria, VA, 22313-1450

Michelle Nicely

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

ROBERT H. DAHLA

Application No.: 10/068,533

Filed: February 5, 2002

For: ELECTROSURGICAL APPARATUS AND METHODS FOR TREATMENT AND REMOVAL

OF TISSUE

Examiner: Not Yet Assigned

Art Unit: 3763

INFORMATION DISCLOSURE

STATEMENT UNDER 37 CFR §1.97 and §1.98

Commissioner for Patents Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO-1449 are being called to the attention of the Examiner. A copy of each is enclosed.

It is respectfully requested that the cited information be considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue there from.

This IDS is being filed before the mailing date of the first Office Action on the merits. Accordingly, Applicants believe that no fee is necessary. Should a fee be deemed necessary for submission of this IDS, the Commissioner is authorized to charge the required fee to Deposit Account No. 50-0359.

Respectfully submitted,

Richard R. Batt

Reg. No. 43,485

ArthroCare Corporation 680 Vaqueros Ave. Sunnyvale, CA 94085-3523 (408) 736-0224

FORM PTO-1449 (Modified) Attorney Docket No. Application No.: LIST OF PATENTS AND PUBLICATIONS FOR CB-11-1 10/068,533 APPLICANT'S INFORMATION DISCLOSURE 6 PA PEMENT (Use several sheets if necessary) Applicant: Robert H. Dahla et al. THE 0 9 2004 Group: 3763 Filing Date: 02/05/2002 U.S. PATENT DOCUMENTS Reference Designation Date Name Class Sub-Filing Date Examiner Document No. class Initial FOREIGN PATENT DOCUMENTS Class Sub-Translation Document No. Date Country (yes/no) class A61B 57-57802 04/05/82 JΡ 1/00 AN A61B 17/39 WIPO AΗ 97/48345 12/24/97 07/02/98 A61B 17/39 WIPO AΙ 98/27880 A61B 5/04 92/21278 12/10/92 WIPO AΒ A61M 37/00 AC 94/08654 04/28/94 WIPO 97/00647 AD 01/09/97 WIPO A61B 17/39 17/39 ΑE 97/00646 01/09/97 WIPO A61B 01/27/99 UK A61B 17/39 AJ 2 327 350 UK A61B 17/39 2 327 351 01/27/99 AK A61B 17/39 AL2 327 352 01/27/99 UK AA 0 694 290 11/15/00 Europe A61B 18/04 G01R 27/02 0 703 461 03/27/96 Europe AF A61B 17/39 0 754 437 01/22/97 Europe AG A61B 17/39 03/21/91 AM 3930451 Germany OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.) Pearce, John A. (1986) Electrosurgery, pgs. 17, 69-75, 87, John Wiley & Sons, AO New York. J.W. Ramsey et al. Urological Research Vol. 13, pp. 99-102 (1985). AP V.E. Elsasser et al. Acta Medicotechnica Vol. 24, No. 4, pp. 129-134 (1976). AO P.C. Nardella (1989) SPIE 1068:42-49 AR Radio Frequency Energy and Impedance Feedback R. Tucker et al., Abstract P14-11, p. 248, "A Bipolar Electrosurgical Turp AS Loop" R. Tucker et al. J. of Urology Vol. 141, pp. 662-665, (1989). AT ΑU R. Tucker et al. Urological Research Vol. 18, pp. 291-294 (1990). ΑV Kramolowsky et al. J. of Urology Vol. 143, pp. 275-277 (1990). DATE CONSIDERED **EXAMINER**

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 (Modified)			Attorney Docket No.	Applica	Application No.:		
LIST OF PATENTS AND PUBLICATIONS FOR PPLECANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)			CB-11-1	10/068,533			
			Applicant: Robert H. Dahla et al.				
			Filing Date: 02/05/2002	Group:	Group: 3763		
Remarence	Designation	υ.s.	PATENT DOCUMENTS				
Examiner Initial	Document No.	Date	Name	Class	Sub- class	Filing Date	
		FOREI	GN PATENT DOCUMENTS	<u> </u>	-		
	Document No.	Date	Country	Class	Sub- class	Translation (yes/no)	
вк	57-117843	07/22/82	JP	A61B	17/39		
BL	99/51158	10/14/99	WIPO	A61B	17/39		
ВМ	99/51155	10/14/99	WIPO	A61B	17/36		
BF	97/48346	12/24/97	WIPO	A61B	17/39		
AW	95/34259	12/21/95	WIPO	A61F	5/48		
BN	98/27879	07/02/98	WIPO	A61B	17/36		
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ВН	2 308 979	07/16/97	GB	A61B	17/36		
BI	2 308 980	07/16/97	GB	A61B	17/36		
BJ	2 308 981	07/16/97	GB	A61B	17/36		
BA	0 740 926 A2	11/06/96	EP	A61B	17/39		
	OTHER ART (Including Auth	or, Title, Date, Pert	inent Pag	es, Etc.)		
BP	Kramolowsky et	Kramolowsky et al. <i>J. of Urology</i> Vol. 146, pp. 669-674 (1991).					
BQ	Slager et al. Z. Kardiol. 76:Suppl. 6, 67-71 (1987).						
BR	Slager et al. JACC 5(6):1382-6 (1985).						
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EXAMINER		DATE	CONSIDERED				

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

						
FORM PTO-14	49 (Modified) Attorney Docket No. Application No.:					
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANTS INFORMATION DISCLOSURE STATEMENT			CB-11-1	10/068,533		
V	Sneets if necessary)	Applicant: Robert H.	L Dahla et	al.	
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Reference	Designation	U.S.	PATENT DOCUMENTS			
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	Document No.	Date	Country	Class	Sub- class	Translation (yes/no)
BU1	93/20747	10/28/93	WIPO	A61B	5/00	
BT	90/03152	04/05/90	WIPO	A61B	17/39	
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BV	Codman & Shurtleff, Inc. "The Malis Bipolar Electrosurgical System CMC-III Instruction Manual" 7/1991					
BW	Valley Forge's New Products, CLINICA, 475, 5, 11/6/91					
BX	Valley Forge Scientific Corp., "Summary of Safety and Effective Information from 510K," 1991					
BY	Codman & Shurtleff, Inc. "The Malis Bipolar Coagulating and Bipolar Cutting System CMC-II" brochure, early 1991					
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CA	L. Malis, "Excerpted from a seminar by Leonard I. Malis, M.D. at the 1995 American Association of Neurological Surgeons Meeting," 1995					
СВ	L. Malis, "Electrosurgery, Technical Note," J. Neursurg., Vol. 85, 970-975, 11/96					
cc	Ian E. Shuman, "Bipolar Versus Monopolar Electrosurgery: Clinical Applications," Dentistry Today, Vol. 20, No. 12, 12/01					
CD	Protell et al., "Computer-Assisted Electrocoagulation: Bipolar v. Monopolar in the Treatment of Experimental Canine Gastric Ulcer Bleeding," Gastroenterology Vol. 80, No. 3, pp. 451-455					
CE	Cook and Webster, "Therapeutic Medical Devices: Application and Design," 1982					
CF	Valleylab SSE2	L Instruction	Manual, 1/6/83			
cg	Robert D. Tucker et al., "Demodulated Low Frequency Currents from Electrosurgical Procedures," Surgery, Gynecology and Obstetrics, 159:39-43, 1984					
СН	Lu, et al., "Electrical Thermal Angioplasty: Catheter Design Features, In Vitro Tissue Ablation Studies and In Vitro Experimental Findings," Am J. Cardiol Vol. 60, pp. 1117-1122					
EXAMINER		DATE	CONSIDERED			

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	Document No.	Date	Country	Class	Sub- class	Translation (yes/no)
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Сн	Selikowitz & LaCourse, "Electric Current and Voltage Recordings on the Myocardium During Electrosurgical Procedures in Canines," Surgery, Gynecology & Obstetrics, Vol. 164, 219-224, March 1987					
CI	J. O'Malley, Schaum's Outline of Theory and Problems of Basic Circuit Analysis, McGraw-Hill, 2 nd Ed., 1992, pp. 3-5					
CJ	Arnaud Wattiez et al., "Electrosurgery in Operative Endoscopy," Electrosurgical Effects, Blackwell Science, pp. 85-93, 1995					
СК	Leslie A. Geddes, "Medical Device Accidents: With Illustrative Cases" CRC Press, 1998					
CL	Wyeth, "Electrosurgical Unit" pp. 1181-1202					
CM	C.P. Swain, et al., <i>Gut</i> Vol. 25, pp. 1424-1431 (1984)					
CN	Piercey et al., Gastroenterology Vol. 74(3), pp. 527-534 (1978)					
co	A.K. Dobbie Bio-Medical Engineering Vol. 4, pp. 206-216 (1969)					
CP	B. Lee et al. JACC Vol. 13(5), pp. 1167-1175 (1989)					
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CR	W. Honig <i>IEEE</i> pp. 58-65 (1975)					
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cu	Letter from Department of Health to Jerry Malis dated April 15, 1985					
cv	Letter from Je	Letter from Jerry Malis to FDA dated July 25, 1985				
CW	Letter from De	Letter from Department of Health to Jerry Malis dated 04/22/91				
сх	Leonard Malis, "Instrumenation for Microvascular Neurosurgery" Cerebrovascular Surgery, Vol 1, 245-260, 1985.					
CY	Valleylab, Inc Manual, 7/88	Valleylab, Inc. "Valleylab Part Number 945 100 102 A" Surgistat Service Manual, 7/88				
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